

Thermalization of topological order

Angelo Lucia (Universidad Complutense de Madrid/ICMAT)

04/12/2023 — TOPO23 Workshop — Tübingen

Joint work with David Pérez-García (UCM/ICMAT), Antonio Pérez-Hernández (UNED).

Motivation: self-correcting quantum memories

Thermalization: mathematical overview

Quantum Double Models

The PEPS parent Hamiltonian

Motivation: self-correcting
quantum memories

Error correcting codes

Example: $(N,1)$ repetition code

encoding: $0 \mapsto 0 \dots 0, 1 \mapsto 1 \dots 1$

decoding: majority vote.

We can correctly recover the encoded bit if we have at most $\lfloor N/2 \rfloor - 1$ errors.

Error correcting codes

Example: (N,1) repetition code

encoding: $0 \mapsto 0 \dots 0$, $1 \mapsto 1 \dots 1$

decoding: majority vote.

We can correctly recover the encoded bit if we have at most $\lfloor N/2 \rfloor - 1$ errors.

We can think of a classical spin model as a repetition code:

Ising model

We encode each bit into a spin: $0 \mapsto +1$, $1 \mapsto -1$ where $+1$ and -1 are two distinguished spin configuration of a particle/atom.

The energy of a collection of spins $\vec{s} = \{s_1, \dots, s_N\}$, $s_i = \pm 1$, is given by

$$H(\vec{s}) = -J \sum_{i \sim j} s_i s_j, \quad J > 0,$$

where $i \sim j$ means that spin i is a “neighbor” of spin j .

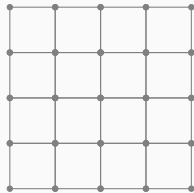
Codewords: configurations with minimal energy.

1D vs 2D

1D: \mathbb{Z}_N



2D: \mathbb{Z}_N^2

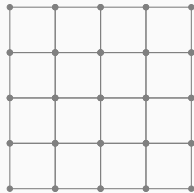


1D vs 2D

1D: \mathbb{Z}_N



2D: \mathbb{Z}_N^2

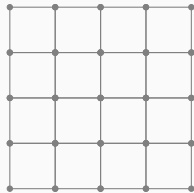


1D vs 2D

1D: \mathbb{Z}_N



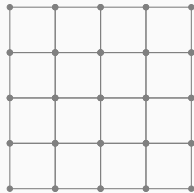
2D: \mathbb{Z}_N^2



1D: \mathbb{Z}_N

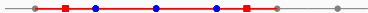


2D: \mathbb{Z}_N^2

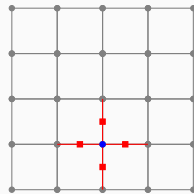


point-like elementary
excitations

1D: \mathbb{Z}_N



2D: \mathbb{Z}_N^2

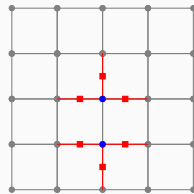


point-like elementary
excitations

1D: \mathbb{Z}_N



2D: \mathbb{Z}_N^2



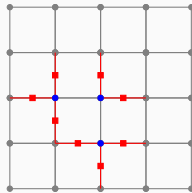
point-like elementary
excitations

1D vs 2D

1D: \mathbb{Z}_N



2D: \mathbb{Z}_N^2



point-like elementary
excitations

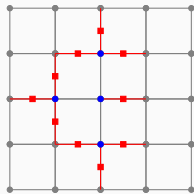
1D vs 2D

1D: \mathbb{Z}_N



point-like elementary
excitations

2D: \mathbb{Z}_N^2



line-like elementary
excitations

Assuming our noise model can flip 1 bit at a time:

1. In 1D, we can go from a codeword to a different one, with **constant energy**;
2. In 2D, we are have to go through configurations with $\sim N$ energy.

Assuming our noise model can flip 1 bit at a time:

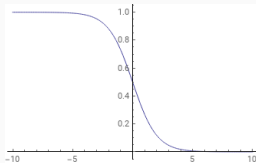
1. In 1D, we can go from a codeword to a different one, with constant energy;
2. In 2D, we are have to go through configurations with $\sim N$ energy.

Thermalization of classical memories

Glauber's dynamics at temperature $T = 1/\beta$

1. Choose a site at random: x
2. Let ΔE be the energy difference if we flip spin at x .
We accept the change with probability

$$p(\Delta E) = \frac{e^{-\beta\Delta E}}{1 + e^{-\beta\Delta E}}$$



3. Repeat.

This defines an ergodic Markov process, whose stationary state is Boltzmann distribution:

$$p(\vec{s}) \sim \exp(-\beta H(\vec{s}))$$

1D vs 2D: thermalization

Mixing time

How long does it take for Glauber's dynamics for the Ising model to reach (close) to equilibrium, as a function of system size N ?

1. In 1D, **polynomial**, for every T ;
2. In 2D, for T sufficiently small, takes exponential time!

1D vs 2D: thermalization

Mixing time

How long does it take for Glauber's dynamics for the Ising model to reach (close) to equilibrium, as a function of system size N ?

1. In 1D, polynomial, for every T ;
2. In 2D, for T sufficiently small, takes exponential time!

1D vs 2D: thermalization

Mixing time

How long does it take for Glauber's dynamics for the Ising model to reach (close) to equilibrium, as a function of system size N ?

1. In 1D, polynomial, for every T ;
2. In 2D, for T sufficiently small, takes exponential time!

In the latter case, we say that the memory is **self-correcting**.

Note: 1D Ising model has no critical temperature / phase transition, 2D Ising model does.

Quantum memories

Quantum error correcting codes

Quantum Hamiltonian H on N qudits $\mathcal{H} := (\mathbb{C}^d)^{\otimes N}$ with ground state space dimension k .

We can encode a vector in \mathbb{C}^k into the ground state space

$$\mathbb{C}^k \ni |\phi\rangle \mapsto |\hat{\phi}\rangle \in \text{groundspace}(H) \subset (\mathbb{C}^d)^{\otimes N}$$

Quantum memories

Quantum error correcting codes

Quantum Hamiltonian H on N qudits $\mathcal{H} := (\mathbb{C}^d)^{\otimes N}$ with ground state space dimension k .

We can encode a vector in \mathbb{C}^k into the ground state space

$$\mathbb{C}^k \ni |\phi\rangle \mapsto |\hat{\phi}\rangle \in \text{groundspace}(H) \subset (\mathbb{C}^d)^{\otimes N}$$

Topological quantum memories

Since topological ordered models have ground states which are *locally indistinguishable*, they seem to be a good fit for a quantum error correcting code.

Which topological ordered models are self-correcting at finite temperature?

Related question: existence of critical temperature for topological ordered models.

Thermalization: mathematical overview

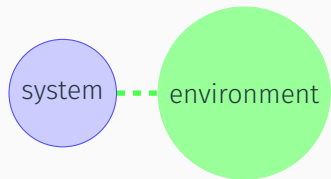
How to model the effect of temperature?

$$T > 0$$

Thermalization

Thermal bath

Systems interacting with some (large) thermal bath at temperature $T > 0$.



Joint Hamiltonian:

$$H(\lambda) := H_{\text{sys}} \otimes \mathbb{1}_{\text{env}} + \mathbb{1}_{\text{sys}} \otimes H_{\text{env}} + \lambda \sum_{\alpha} S_{\alpha} \otimes B_{\alpha} \quad \lambda \geq 0$$

Initial state:

$$\rho_0 \otimes \sigma_{\beta}, \quad \sigma_{\beta} := \frac{1}{Z_{\beta}} \exp(-\beta H_{\text{env}}), \quad \beta = \frac{1}{T}$$

System evolution:

$$\rho_{\text{sys}}(t) = \text{Tr}_{\text{env}}[U_{\lambda,t}(\rho_0 \otimes \sigma_{\beta})U_{\lambda,t}^{\dagger}], \quad U_{\lambda,t} = \exp(itH(\lambda))$$

$\rho_0 \mapsto \rho_{\text{sys}}(t)$ is linear, completely positive, trace preserving (CPTP) for each $t > 0$, but hard to describe!

Markovian / Lindbladian effective evolution

$$\frac{d}{dt}\rho_{\text{eff}}(t) = \mathcal{L}(\rho_{\text{eff}}(t)) \quad \forall t \geq 0, \quad \rho_{\text{eff}}(0) = \rho_0$$

- $\exp(t\mathcal{L})$ is a CPTP map for every $t \geq 0$
- $\rho_\beta = \frac{1}{Z_\beta} \exp(-\beta H_{\text{sys}})$ is invariant: $\mathcal{L}(\rho_\beta) = 0$

Davies' generator [Comm. Math. Phys. 1974]

$$\mathcal{L}(\rho) = -i[H_{\text{sys}}, \rho] + \lambda^2 \mathcal{D}(\rho)$$

where

$$\mathcal{D}(\rho) = \sum_{\alpha} \sum_{\omega} \hat{g}_{\alpha}(\omega) \left(S_{\alpha}(\omega) \rho S_{\alpha}(\omega)^{\dagger} - \frac{1}{2} \{ \rho, S_{\alpha}(\omega)^{\dagger} S_{\alpha}(\omega) \} \right)$$

ω : Bohr frequencies of H_{sys} (differences of eigenvalues)

$\hat{g}_{\alpha}(\omega) \geq 0$: depend on autocorrelation function of the bath, satisfy

detailed balance $\hat{g}_{\alpha}(-\omega) = e^{-\beta\omega} \hat{g}_{\alpha}(\omega)$

$$S_{\alpha} = \sum_{\omega} S_{\alpha}(\omega), \quad e^{itH_{\text{sys}}} S_{\alpha} e^{-itH_{\text{sys}}} = \sum_{\omega} e^{it\omega} S_{\alpha}(\omega) g$$

Properties of Davies semigroup

- \mathcal{L} generates a CPTP semigroup;
- $\rho_\beta = \frac{1}{Z_\beta} \exp(-\beta H_{\text{sys}})$ is invariant: $\mathcal{L}(\rho_\beta) = 0$;
- If $\{S_\alpha\}'_\alpha = \mathbb{C}\mathbf{1}$, then the semigroup is **ergodic**: ρ_β is the unique fixed point

Properties of Davies semigroup

- \mathcal{L} generates a CPTP semigroup;
- $\rho_\beta = \frac{1}{Z_\beta} \exp(-\beta H_{\text{sys}})$ is invariant: $\mathcal{L}(\rho_\beta) = 0$;
- If $\{S_\alpha\}'_\alpha = \mathbb{C}\mathbf{1}$, then the semigroup is **ergodic**: ρ_β is the unique fixed point

In many cases, $\rho_{\text{eff}}(t)$ approximates $\rho_{\text{sys}}(t)$ in the *weak-coupling limit*:

- Davies, 1974-1976: under certain assumptions on the thermal bath, for every $\tau > 0$

$$\lim_{\lambda \rightarrow 0} \sup_{0 \leq \lambda^2 t < \tau} \|\rho_{\text{eff}}(t) - \rho_{\text{sys}}(t)\|_1 = 0$$

- Merkli, 2020:

$$\sup_{t \geq 0} \|\rho_{\text{eff}}(t) - \rho_{\text{sys}}(t)\|_1 \leq C\lambda^2$$

(dependence of C on system size not clear)

Locality of Davies generator

H_{sys} is a quantum Hamiltonian on $\mathcal{H} = (\mathbb{C}^d)^{\otimes N}$, which we assume are arranged on a graph or lattice.

If we assume

- H_{sys} is a local **commuting** Hamiltonian

$$H_{\text{sys}} = \sum_p H_p, \quad [H_p, H_{p'}] = 0 \quad \forall p, p'$$

- the thermal coupling is i.i.d. on each site: $S_{\alpha,i}$ acts on site i for each $i = 1, \dots, N$

then $S_{\alpha,i}(\omega)$ is local:

$$\sum_{\omega} e^{it\omega} S_{\alpha,i}(\omega) = e^{itH_{\text{sys}}} S_{\alpha,i} e^{-itH_{\text{sys}}} = e^{itH_{N(i)}} S_{\alpha,i} e^{-itH_{N(i)}}$$

where ω runs over the Bohr frequencies of $H_{N(i)} = \sum_{p \ni i} H_p$.

In this case, the Davies generator is also **local**

$$\mathcal{D} = \sum_i \mathcal{D}_i$$

$$\mathcal{D}_i(\rho) = \sum_{\alpha, \omega} \hat{g}_{\alpha, i}(\omega) \left(S_{\alpha, i}(\omega) \rho S_{\alpha, i}(\omega)^\dagger - \frac{1}{2} \{ \rho, S_{\alpha, i}(\omega) S_{\alpha, i}(\omega)^\dagger \} \right)$$

Assumption 1: translation invariance

1. $\hat{g}_{\alpha, i} = \hat{g}_\alpha$
2. $S_{\alpha, i}(\omega)$ and $S_{\alpha, j}(\omega)$ are related by translation

Assumption 2: “local ergodicity”:

$$\{S_{\alpha, i}\}'_\alpha = \mathbf{C}\mathbf{1} \quad \forall i$$

Spectral gap $\text{gap}(\mathcal{D})$: Difference between second largest and largest eigenvalue (in modulus).

The spectral gap controls how quickly the dissipation happens.

Spectral gap $\text{gap}(\mathcal{D})$: Difference between second largest and largest eigenvalue (in modulus).

The spectral gap controls how quickly the dissipation happens.

Scaling?

- If $\text{gap}(\mathcal{D}) = \Omega(1)$ as system size N grows, then the mixing time is polynomial in N and we have *no self-correction*.
- If $\text{gap}(\mathcal{D}) = o(N)$ (for low enough T) then we might have *self-correction*.

Is 2D self-correction possible?

Is 2D self-correction possible?

Probably not.

- The 2D Toric Code is not self-correcting: $\text{gap}(\mathcal{D}) = \Omega(e^{-\beta c})$ uniform in N
(Alicki-Fannes-Horodecki 2008).
- The 4D Toric Code is self-correcting: $\text{gap}(\mathcal{D}) = \mathcal{O}(e^{-cN})$ for low T
(Dennis, Kiteev, Landahl, Preskill 2002, Alicki-Horodecki^{⊗3} 2010)
- For Quantum Double models (Kitaev 1997) with G abelian
 $\text{gap}(\mathcal{D}) = \Omega(e^{-\beta c})$ uniform in N
(Kómár, Landon-Cardinal, Temme, 2016)
- Heuristic arguments based on energy barriers (Bravyi, Terhal 2009; Landon-Cardinal, Poulin 2013)

Our result

[arxiv:2107.01628, Forum of Mathematics, Sigma 2023]

For any quantum double model with group G , we have that for any

$\beta < \infty$

$$\text{gap}(\mathcal{D}) \geq \lambda(G, \beta) > 0$$

uniformly in N .

What's interesting about our result:

1. Covers non-abelian models (required for universal quantum computation by braiding anyons)
2. We use **tensor networks techniques!**

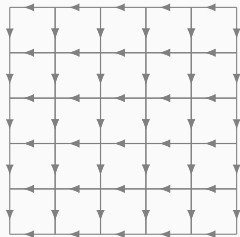
What's not so nice:

- Dependence on β is worse than previous results ...
- ...but we have ideas on how to improve it and obtain a similar bound.

Quantum Double Models

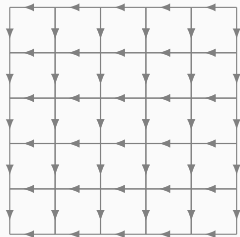
Quantum doubles

- $\Lambda = \mathbb{Z}_N \times \mathbb{Z}_N$ with orientation



Quantum doubles

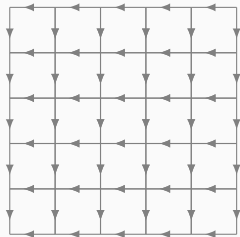
- $\Lambda = \mathbb{Z}_N \times \mathbb{Z}_N$ with orientation
- $\ell_2(G) = \text{span}\{|g\rangle \mid g \in G\}$



Quantum doubles

- $\Lambda = \mathbb{Z}_N \times \mathbb{Z}_N$ with orientation
- $\ell_2(G) = \text{span}\{|g\rangle \mid g \in G\}$
- for each edge $e \in \mathcal{E}$

$$\mathcal{H}_e = \ell_2(G)$$



Quantum double model with group G

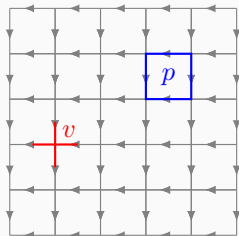
Quantum doubles

- $\Lambda = \mathbb{Z}_N \times \mathbb{Z}_N$ with orientation
- $\ell_2(G) = \text{span}\{|g\rangle \mid g \in G\}$
- for each edge $e \in \mathcal{E}$

$$\mathcal{H}_e = \ell_2(G)$$

- Commuting Hamiltonian

$$H_\Lambda = - \sum_{v \text{ vertex}} A_v - \sum_{p \text{ plaquette}} B_p$$



where $[A_v, A_{v'}] = [B_p, B_{p'}] = [A_v, B_p] = 0$

The interaction terms

$$A_v = \frac{1}{|G|} \sum_{g \in G} R^g \begin{array}{c} L^g \\ \bullet \\ \bullet \\ R^g \end{array} L^g$$

$$B_p = \frac{1}{|G|} \sum_{g_4^{-1} g_3^{-1} g_2 g_1 = 1} \begin{array}{c} |g_1\rangle\langle g_1| \\ \bullet \\ \bullet \\ |g_3\rangle\langle g_3| \end{array} \begin{array}{c} |g_2\rangle\langle g_2| \\ \bullet \\ \bullet \\ |g_4\rangle\langle g_4| \end{array}$$

where

$$L^g := \sum_{h \in G} |gh\rangle\langle h|,$$

$$L^g |h\rangle = |gh\rangle$$

$$R^g := \sum_{h \in G} |hg^{-1}\rangle\langle h|,$$

$$R^g |h\rangle = |hg^{-1}\rangle$$

are the left and right regular representations of G .

Some properties

1. The ground state dimension is independent of N .
It is given by the number of flat G -connections or

$$\dim (\text{Hom}(\mathbb{Z}^2, G) / \sim G)$$

Some properties

1. The ground state dimension is independent of N .
It is given by the number of flat G -connections or

$$\dim(\mathrm{Hom}(\mathbb{Z}^2, G)/\sim G)$$

2. Elementary excitations are localized particles.
They can be classified by the irreps of $D(G)$ Drinfeld's quantum double algebra of $\ell_2(G)$.

Some properties

1. **The ground state dimension is independent of N .**
It is given by the number of flat G -connections or

$$\dim(\mathrm{Hom}(\mathbb{Z}^2, G)/\sim G)$$

2. **Elementary excitations are localized particles.**
They can be classified by the irreps of $D(G)$ Drinfeld's quantum double algebra of $\ell_2(G)$.
3. **They can support universal quantum computation.**
If G is **not abelian**, braiding and exchanging elementary excitations is a non-abelian operation. This allows to encode arbitrary quantum computations (topological quantum computing).

GNS scalar product

$$\langle A, B \rangle_\beta = \text{Tr } \rho_\beta A^\dagger B, \quad \rho_\beta = \frac{1}{Z_\beta} e^{-\beta H_\Lambda}$$

Detailed balance

– \mathcal{D} is self-adjoint and positive semidefinite with respect to $\langle \cdot, \cdot \rangle_\beta$

$$\langle \mathcal{D}(A), B \rangle_\beta = \langle A, \mathcal{D}(B) \rangle_\beta, \quad \langle \mathcal{D}(A), A \rangle_\beta \leq 0.$$

GNS scalar product

$$\langle A, B \rangle_\beta = \text{Tr } \rho_\beta A^\dagger B, \quad \rho_\beta = \frac{1}{Z_\beta} e^{-\beta H_\Lambda}$$

Detailed balance

$-\mathcal{D}$ is self-adjoint and positive semidefinite with respect to $\langle \cdot, \cdot \rangle_\beta$

$$\langle \mathcal{D}(A), B \rangle_\beta = \langle A, \mathcal{D}(B) \rangle_\beta, \quad \langle \mathcal{D}(A), A \rangle_\beta \leq 0.$$

We can represent $-\mathcal{D}$ as a local Hamiltonian \tilde{H} such that

$$\text{gap}(\mathcal{D}) = \text{gap}(\tilde{H})$$

Vectorization

Vectorization

We can identify $\mathcal{B}(\mathcal{H}_\Lambda)$ with $\mathcal{H}_\Lambda^2 = \mathcal{H}_\Lambda \otimes \mathcal{H}_\Lambda$ via

$$Q \mapsto |\iota(Q)\rangle = (Q\rho_\beta^{1/2} \otimes \mathbf{1}) |\Omega\rangle, \quad |\Omega\rangle = \sum_{\vec{g} \in G^\Lambda} |\vec{g}, \vec{g}\rangle$$

It is an isometry between $(\mathcal{B}(\mathcal{H}_\Lambda), \langle \cdot, \cdot \rangle_\beta)$ and \mathcal{H}_Λ^2 .

Vectorization

Vectorization

We can identify $\mathcal{B}(\mathcal{H}_\Lambda)$ with $\mathcal{H}_\Lambda^2 = \mathcal{H}_\Lambda \otimes \mathcal{H}_\Lambda$ via

$$Q \mapsto |\iota(Q)\rangle = (Q\rho_\beta^{1/2} \otimes \mathbb{1}) |\Omega\rangle, \quad |\Omega\rangle = \sum_{\vec{g} \in G^\Lambda} |\vec{g}, \vec{g}\rangle$$

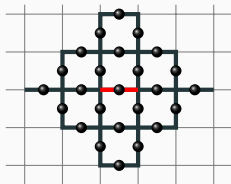
It is an isometry between $(\mathcal{B}(\mathcal{H}_\Lambda), \langle \cdot, \cdot \rangle_\beta)$ and \mathcal{H}_Λ^2 .

Vectorized Lindbladian

$$\tilde{H}_e |\iota(Q)\rangle := -|\iota(\mathcal{D}_e(Q))\rangle$$

$$\tilde{H} = \sum_e \tilde{H}_e, \quad \tilde{H}_e \geq 0$$

\tilde{H} is a local, frustration-free Hamiltonian!



Support of \tilde{H}_e

We can characterize the local ground states subspaces of \tilde{H} :

$$\ker \sum_{e \in X} \mathcal{D}_e = \{S_{\alpha,e}(\omega) \mid e \in X\}' \subset \mathbb{1}_X \otimes \mathcal{B}(\mathcal{H}_{X^c})$$
$$\ker \sum_{e \in X} \tilde{H}_e \subset \{(Q\rho_\beta^{1/2} \otimes \mathbb{1})|\Omega\rangle \mid Q \in \mathcal{B}(\mathcal{H}_{X^c})\}$$

In particular, when $X = \Lambda$, the unique ground state of \tilde{H} is the *thermofield double* $|\rho_\beta^{1/2}\rangle = |\iota(\mathbb{1})\rangle$, which is a local purification of ρ_β .

Warning!

- H_Λ : quantum double model Hamiltonian on \mathcal{H}_Λ , commuting, degenerate ground states;
- \tilde{H} : non-commuting Hamiltonian on $\mathcal{H}_\Lambda \otimes \mathcal{H}_\Lambda$, unique ground state $|\rho_\beta^{1/2}\rangle$, $\text{gap}(\tilde{H}) = \text{gap}(\mathcal{D})$

Tensor networks tools

1. The thermofield double state $|\rho_\beta^{1/2}\rangle$ can be represented as a PEPS (Projected Entangled Pair State)
2. PEPS have a frustration-free Hamiltonian associated to them, called the **parent Hamiltonian** H^{parent}
3. There are conditions on the PEPS that imply that H^{parent} has a spectral gap.

Theorem

Let \mathcal{X} be the family of rectangles having at most $n(\beta)$ plaquettes per row and column, for $n(\beta) \sim \exp(\beta)$. Then there exists a parent Hamiltonian with unique ground state $|\rho_\beta^{1/2}\rangle$

$$H^{\text{parent}} = \sum_{X \in \mathcal{X}} P_X^\perp$$

such that $\text{gap}(H^{\text{parent}}) \geq \kappa > 0$ uniformly in β and N .

We want to compare \tilde{H} with H^{parent} !

Comparison

Lemma (Step 1: intermediate projection)

Let Π_X the projection on

$$\{(Q\rho_\beta^{1/2} \otimes \mathbb{1})|\Omega\rangle \mid Q \in \mathcal{B}(\mathcal{H}_{X^c})\}$$

Then

$$\sum_{e \in X} \tilde{H}_e \geq \frac{C}{|X|} e^{-c\beta|X|} \Pi_X^\perp, \quad \text{and} \quad \Pi_X^\perp \geq P_X^\perp.$$

Lemma (Step 2: coarse-graining)

$$\tilde{H} = \sum_{e \in \Lambda} \tilde{H}_e \geq \frac{1}{n(\beta)^4} \sum_{X \in \mathcal{X}} \left(\sum_{e \in X} \tilde{H}_e \right) \geq C \frac{e^{-c\beta n(\beta)^2}}{n(\beta)^4} H^{\text{parent}}$$

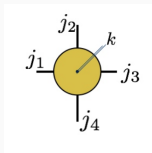
Conclusion

$$\text{gap}(\mathcal{D}) = \text{gap}(\tilde{H}) \geq C \frac{e^{-c\beta n(\beta)^2}}{n(\beta)^4} \kappa \quad \text{uniformly in } N$$

The PEPS parent Hamiltonian

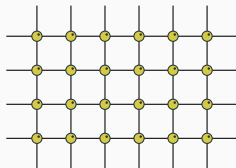
PEPS and Parent Hamiltonian

What is a PEPS? It is a family of states, defined by the contraction of tensors $V_e \in (\mathbb{C}^D)^{\otimes 4} \otimes \mathbb{C}^d$. D is called the *virtual* dimension, d the *physical* dimension.



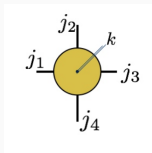
For each region \mathcal{R} , **contracting virtual indices** in \mathcal{R} gives a linear map from boundary virtual indices to physical indices:

$$V_{\mathcal{R}} : \mathcal{H}_{\partial\mathcal{R}} \longrightarrow \mathcal{H}_{\mathcal{R}}$$



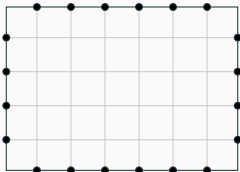
PEPS and Parent Hamiltonian

What is a PEPS? It is a family of states, defined by the contraction of tensors $V_e \in (\mathbb{C}^D)^{\otimes 4} \otimes \mathbb{C}^d$. D is called the *virtual* dimension, d the *physical* dimension.



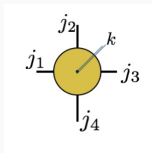
For each region \mathcal{R} , contracting virtual indices in \mathcal{R} gives a linear map from **boundary virtual indices** to physical indices:

$$V_{\mathcal{R}} : \mathcal{H}_{\partial\mathcal{R}} \longrightarrow \mathcal{H}_{\mathcal{R}}$$



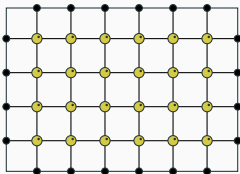
PEPS and Parent Hamiltonian

What is a PEPS? It is a family of states, defined by the contraction of tensors $V_e \in (\mathbb{C}^D)^{\otimes 4} \otimes \mathbb{C}^d$. D is called the *virtual* dimension, d the *physical* dimension.



For each region \mathcal{R} , contracting virtual indices in \mathcal{R} gives a linear map from boundary virtual indices to **physical indices**:

$$V_{\mathcal{R}} : \mathcal{H}_{\partial\mathcal{R}} \longrightarrow \mathcal{H}_{\mathcal{R}}$$



Parent Hamiltonian and approximate factorization

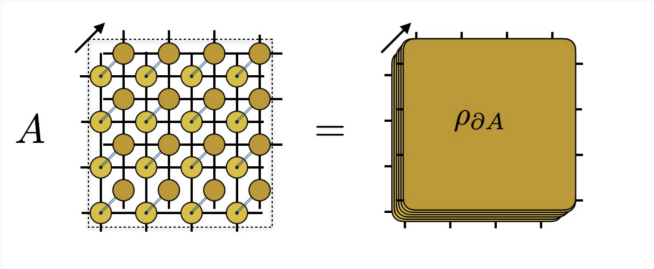
Parent Hamiltonian

A local f.f. Hamiltonian whose ground states in a region \mathcal{R} are exactly $\text{Ran } V_{\mathcal{R}}$, for a “good” class of regions \mathcal{R} (e.g. all sufficiently large rectangles).

Boundary state

The boundary state of a region \mathcal{R} is a (unnormalized) density matrix on the boundary virtual indices, obtained by tracing out the physical indices.

$$\rho_{\partial\mathcal{R}} = V_{\mathcal{R}}^{\dagger} V_{\mathcal{R}} \in \mathcal{B}(\mathcal{H}_{\partial\mathcal{R}})$$



Approximate factorization

Definition (Approximate factorization)

$\rho_{\partial\mathcal{R}}$ is ϵ -approximately factorizable if there exists a product state

$$\sigma_{\partial\mathcal{R}} = \bigotimes_{u \in \partial\mathcal{R}} \sigma_x$$

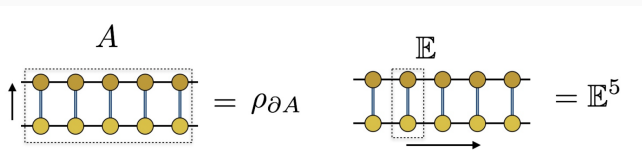
such that

$$\|\rho_{\partial\mathcal{R}}^{1/2} \sigma_{\partial\mathcal{R}}^{-1} \rho_{\partial\mathcal{R}}^{1/2} - \mathbf{1}\| \leq \epsilon$$

Kastoryano-L.Perez-Garcia arXiv:1709.07691

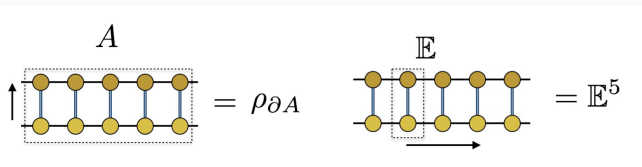
For an appropriately chosen family of rectangles $\{\mathcal{R}\}$, if $\rho_{\mathcal{R}}$ is $\epsilon(\mathcal{R})$ -approximately factorizable, with $\epsilon(\mathcal{R})$ decaying exponentially in the diameter of \mathcal{R} , then the parent Hamiltonian has a positive spectral gap (independent of system size).

Approximate factorization – 1D



In 1D, the boundary state is the Choi-Jamiołkowski matrix of the transfer operator.

Approximate factorization – 1D



In 1D, the boundary state is the **Choi-Jamiołkowski matrix** of the **transfer operator**. In the tensor is *injective*, then \mathbb{E} is primitive: \mathbb{E}^n converges to a rank-one operator. This implies that

$$\rho_{\partial \mathcal{X}} \rightarrow \sigma = \sigma_1 \otimes \sigma_2$$

exponentially fast in $|\mathcal{X}|$. It implies approximate factorization since

$$\left\| \rho_{\partial \mathcal{X}}^{1/2} \sigma_{\partial \mathcal{X}}^{-1} \rho_{\partial \mathcal{X}}^{1/2} - \mathbf{1} \right\| \leq \sigma_{\min}^{-1} \|\rho_{\partial \mathcal{X}} - \sigma\|$$

Locality of the boundary – quantum double

Using the explicit description of $|\rho_\beta^{1/2}\rangle$ as a PEPS, we can bound:

$$\|\rho_{\partial\mathcal{R}} - \kappa_{\partial\mathcal{R}} J_{\partial\mathcal{R}}\|_\infty \leq 3|G|^2 \left(\frac{\gamma_\beta}{1 + \gamma_\beta} \right)^{|\mathcal{R}|}, \quad \gamma_\beta = \frac{e^\beta - 1}{|G|}$$

where $J_{\partial\mathcal{R}}$ is the projection on the support of $\rho_{\partial\mathcal{R}}$.

formalize. We are going to expand the expression for $\rho_{\partial\mathcal{R}}$

$$\rho_{\partial\mathcal{R}} = \sum_{\substack{\hat{g}: \mathcal{E}_{\mathcal{R}} \rightarrow G \\ \hat{a}: \mathcal{V}_{\mathcal{R}} \rightarrow G}} \psi_{\hat{g}} \otimes \phi_{\hat{a}}$$

as a sum over maps

$$\hat{g}: \mathcal{E}_{\mathcal{R}} \longrightarrow G, \quad \hat{a}: \mathcal{V}_{\mathcal{R}} \longrightarrow G$$

satisfying a certain compatibility condition. Let us explain the notation: at each

This implies approximate factorization, choosing $\sigma_{\partial\mathcal{R}} = \kappa_{\partial\mathcal{R}} J_{\partial\mathcal{R}}$

Outlook

- For all 2D quantum double models with group G

$$\text{gap}(\mathcal{D}) \geq \lambda(G, \beta) > 0$$

Outlook

- For all 2D quantum double models with group G

$$\text{gap}(\mathcal{D}) \geq \lambda(G, \beta) > 0$$

Work in progress:

- Other 2D topological models (string nets, etc.)? Replace $\ell_2(G)$ by a (weak)-Hopf algebra. With András Molnár (Vienna), Alberto Ruiz-de-Alarcón (Tübingen).

Outlook

- For all 2D quantum double models with group G

$$\text{gap}(\mathcal{D}) \geq \lambda(G, \beta) > 0$$

Work in progress:

- Other 2D topological models (string nets, etc.)? Replace $\ell_2(G)$ by a (weak)-Hopf algebra. With András Molnár (Vienna), Alberto Ruiz-de-Alarcón (Tübingen).
- Improving the dependence on β : prove a spectral gap for $\sum_e \Pi_e^\perp$ directly, since

$$\sum_e \tilde{H}_e \geq C e^{-c\beta} \sum_e \Pi_e^\perp$$

Outlook

- For all 2D quantum double models with group G

$$\text{gap}(\mathcal{D}) \geq \lambda(G, \beta) > 0$$

Work in progress:

- Other 2D topological models (string nets, etc.)? Replace $\ell_2(G)$ by a (weak)-Hopf algebra. With András Molnár (Vienna), Alberto Ruiz-de-Alarcón (Tübingen).
- Improving the dependence on β : prove a spectral gap for $\sum_e \Pi_e^\perp$ directly, since

$$\sum_e \tilde{H}_e \geq C e^{-c\beta} \sum_e \Pi_e^\perp$$

- the **mixing time**. Can we improve from a spectral gap estimate to a *log-Sobolev constant* estimate? \rightarrow Gibbs state is a *trivial state*

Outlook

- For all 2D quantum double models with group G

$$\text{gap}(\mathcal{D}) \geq \lambda(G, \beta) > 0$$

Work in progress:

- Other 2D topological models (string nets, etc.)? Replace $\ell_2(G)$ by a (weak)-Hopf algebra. With András Molnár (Vienna), Alberto Ruiz-de-Alarcón (Tübingen).
- Improving the dependence on β : prove a spectral gap for $\sum_e \Pi_e^\perp$ directly, since

$$\sum_e \tilde{H}_e \geq C e^{-c\beta} \sum_e \Pi_e^\perp$$

- the **mixing time**. Can we improve from a spectral gap estimate to a *log-Sobolev constant* estimate? \rightarrow Gibbs state is a *trivial state*

Thank you for your attention!

1D models

No-self correction in 1D

Self-correction is impossible in 1D even for classical systems:

1. Ising shows in 1925 that there is no phase transition for his 1D model;

No-self correction in 1D

Self-correction is impossible in 1D even for classical systems:

1. Ising shows in 1925 that there is no phase transition for his 1D model;
2. Holley, Stroock 1989: spectral gap for Glauber dynamics for 1D Ising model.

No-self correction in 1D

Self-correction is impossible in 1D even for classical systems:

1. Ising shows in 1925 that there is no phase transition for his 1D model;
2. Holley, Stroock 1989: spectral gap for Glauber dynamics for 1D Ising model.
3. They actually show a stronger property: **log-Sobolev inequality**. Mixing time is **logarithmic** in N !

No-self correction in 1D

Self-correction is impossible in 1D even for **classical** systems:

1. Ising shows in 1925 that there is no phase transition for his 1D model;
2. Holley, Stroock 1989: spectral gap for Glauber dynamics for 1D Ising model.
3. They actually show a stronger property: log-Sobolev inequality. Mixing time is logarithmic in N !

Similarly, for **quantum** systems:

1. Alicki, Fannes, Horodecki 2009: spectral gap quantum ferromagnetic 1D Ising model

No-self correction in 1D

Self-correction is impossible in 1D even for **classical** systems:

1. Ising shows in 1925 that there is no phase transition for his 1D model;
2. Holley, Stroock 1989: spectral gap for Glauber dynamics for 1D Ising model.
3. They actually show a stronger property: log-Sobolev inequality. Mixing time is logarithmic in N !

Similarly, for **quantum** systems:

1. Alicki, Fannes, Horodecki 2009: spectral gap quantum ferromagnetic 1D Ising model
2. Kastoryano, Brandao 2016: spectral gap for 1D commuting quantum spin chains

log-Sobolev inequality for 1D models

Bardet, Capel, Gao, L. Pérez-García, Rouzé 2023

For a commuting 1D spin chain Hamiltonian, the associated ergodic Davies generator at any inverse temperature $\beta < \infty$ satisfies a log-Sobolev inequality with constant

$$\alpha(\mathcal{D}) \geq C \log^{-1}(N)$$

which implies that the mixing time is logarithmic in N .

This is a culmination of a long line of work:

1. Bardet, Capel, Gao, L., Pérez-García, Rouzé. arXiv:2112.00601
2. Bardet, Capel, Gao, L., Pérez-García, Rouzé. Phys. Rev. Lett. 130 (2023)
3. Bardet, Capel, L., Pérez-García, Rouzé. J. of Math. Phys. 62 (2021)
4. Capel, L., Pérez-García. J. of Phys. A 51.48 (2018)
5. Capel, L., Pérez-García. IEEE Trans. Inf. Th. 64.7 (2017)

SPT phases

Symmetries

If the system has a symmetry G , with unitary representation u_g such that

$$[H_{\text{sys}}, u_g] = 0 \quad \forall g \in G$$

then we could require the existence of a representation U_g on the environment such that

$$[H(\lambda), u_g \otimes U_g] = 0 \quad \forall g \in G$$

In this case, \mathcal{L} satisfies a *covariance* condition:

Weak symmetry

$$\mathcal{L}(u_g \rho u_g^\dagger) = u_g \mathcal{L}(\rho) u_g^\dagger$$

Symmetries

$$[H(\lambda), u_g \otimes U_g] = 0 \quad \forall g \in G$$

A stronger requirement is that $U_g = \mathbb{1}$ (trivial representation), in which case

Strong symmetry

$$\text{Tr}[u_g \mathcal{L}(\rho)] = 0, \quad \text{or equivalently that } \langle u_g \rangle_{\rho_{\text{eff}}(t)} \text{ constant } \forall g \in G$$

A sufficient (and necessary, if \mathcal{L} has a full rank invariant state) is that

$$[S^\alpha, u_g] = 0 \quad \forall \alpha, \forall g \in G$$

Strong symmetry vs. ergodicity

If \mathcal{L} is strongly symmetric, then it **cannot** be ergodic!

$$\{S_\alpha\}'_\alpha \supseteq \{u_g \mid g \in G\}$$

If u_g is not irreducible, each irrep-sector is invariant, and

$$\rho_\beta = \sum_\gamma \rho_\beta^{(\gamma)}, \quad \mathcal{L}(\rho_\beta^{(gamma)})$$

Open problem:

Obtain mixing-time bounds for non-ergodic Davies generators.